



Nuclear fission - history

1932 – The English physicist **James Chadwick** discovered the **neutron**

1934 - **Enrico Fermi** and his colleagues in Rome studied the results of bombarding uranium with slow-moving neutrons and found **radioactive isotopes** in the decay products

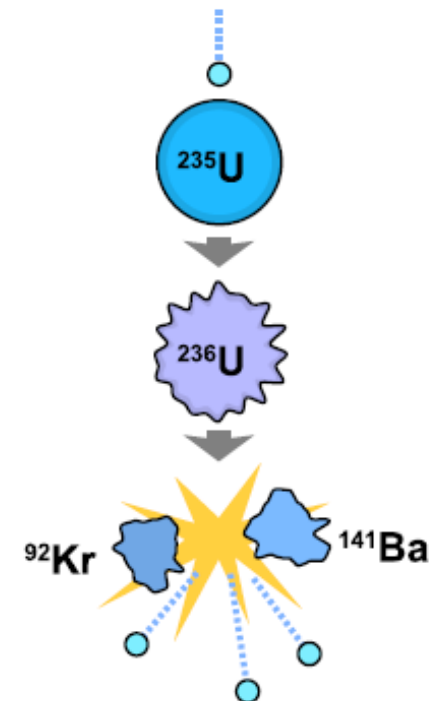
1939 - **Otto Hahn** and **Fritz Strassmann** detected the element **barium** after bombarding uranium with neutrons

1939 - **Lise Meitner** and **Otto Robert Frisch** correctly interpreted these results as being **nuclear fission**

1944 – **Otto Hahn** received the **Nobel Prize** for Chemistry for the discovery of nuclear fission

1939 - the Hungarian physicist **Leo Szilárd**, then in the United States, realized that fission could be used to create a **nuclear chain reaction** (an idea he had first formulated in 1933)

1940 – The Russian physicists **Georgy Flerov** and **Konstantin Peterzhak** discovered the **spontaneous fission of uranium ^{235}U**





Nuclear fission

Nuclear fission

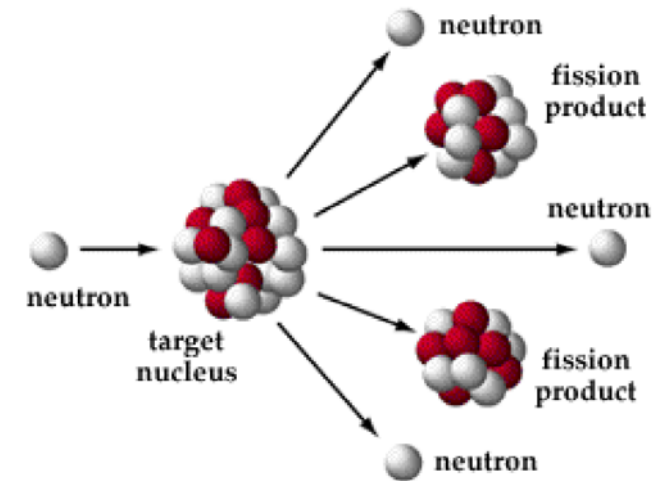
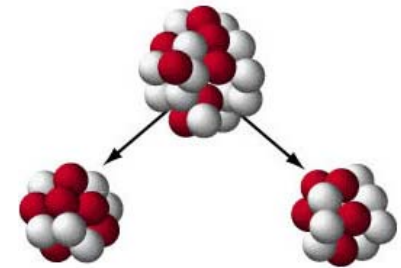
- decay into two or more lighter nuclei :

☐ **spontaneous** fission (tunneling effect)

☐ **induced fission** – due to nuclear reactions, e.g. under neutron bombardment

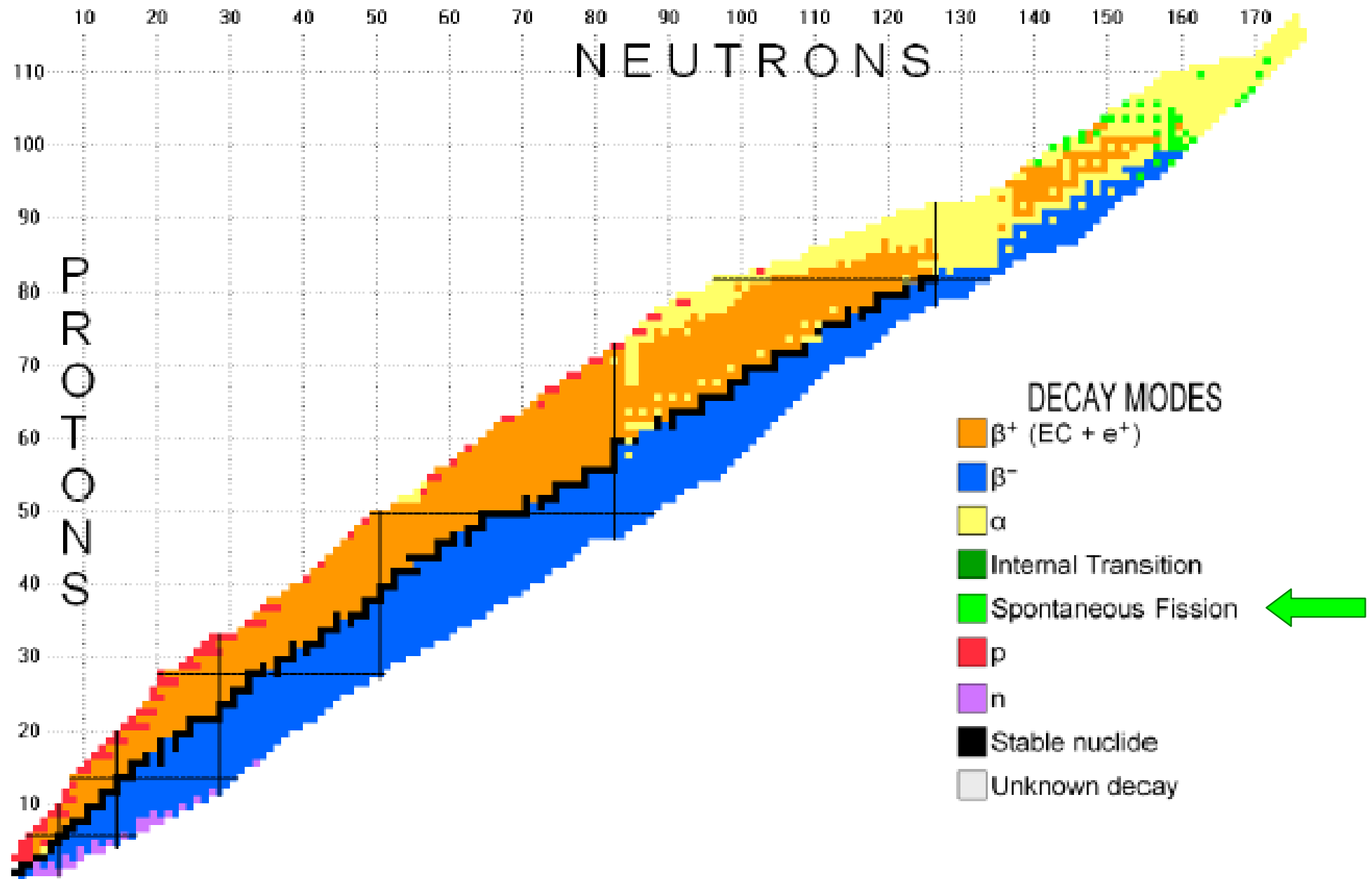
● Fission is **energetically more favourable** for heavy isotopes

● **Fission products**: the two nuclei produced are most often of comparable size, typically with a mass ratio around 3:2 for common fissile isotopes.



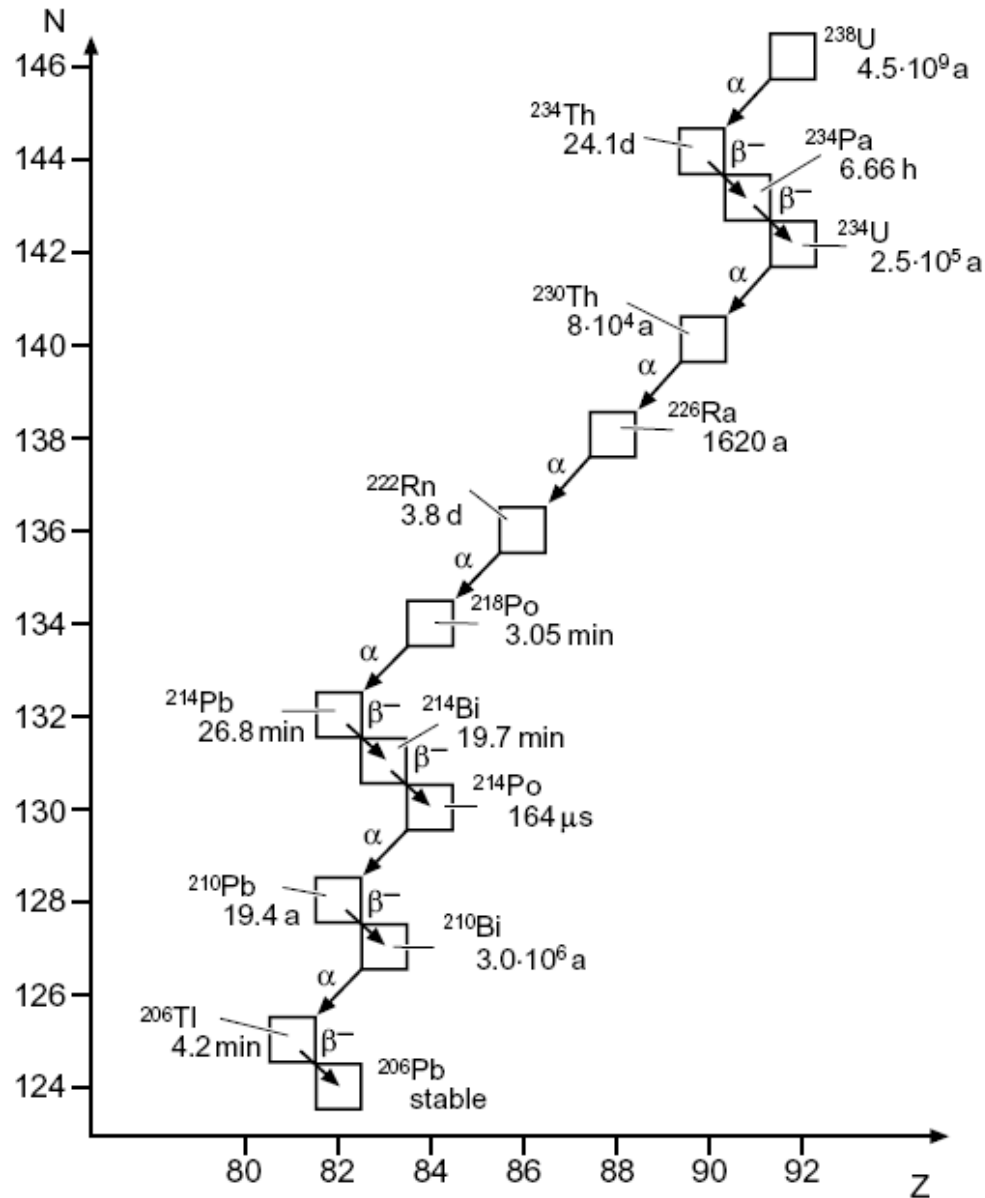


Decay modes





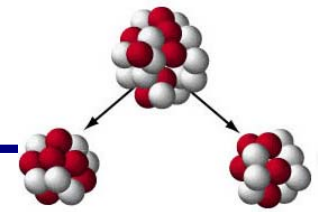
Decay modes



The ^{238}U decay chain in the N-Z plane.



Spontaneous fission



Nuclei	Half-life (years)	
	Spont. Fission	α -decay
$^{232}_{90}\text{Th}$	$1,3 \cdot 10^{18}$	$1,41 \cdot 10^{10}$
$^{235}_{92}\text{U}$	$1,9 \cdot 10^{17}$	$7,1 \cdot 10^8$
$^{238}_{92}\text{U}$	$5,9 \cdot 10^{15}$	$4,5 \cdot 10^9$
$^{238}_{94}\text{Pu}$	$4,9 \cdot 10^{10}$	89,6
$^{239}_{94}\text{Pu}$	$5,5 \cdot 10^{15}$	$24,3 \cdot 10^3$
$^{240}_{94}\text{Pu}$	$1,3 \cdot 10^{11}$	$6,6 \cdot 10^3$
$^{242}_{94}\text{Pu}$	$7 \cdot 10^{10}$	$3,5 \cdot 10^5$
$^{241}_{95}\text{Am}$	$2,3 \cdot 10^{14}$	432,6

□ **Half-life** for the spontaneous fission is much longer than for radioactive α -decay and only for superheavy elements it is comparable

E.g.: **spontaneous fission of ^{238}U :**

$$\tau_{1/2}(^{238}\text{U}) = 5 \cdot 10^{15} \text{ years}$$

→ there are ~35 spontaneous decays of ^{238}U in 1 gram of ^{238}U during 1 hour



Mechanisms of nuclear fission



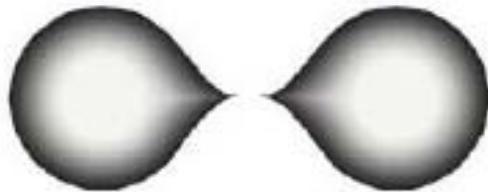
➤ The fission of a **heavy nucleus** requires a **total input energy** of about 7 to 8 MeV to initially overcome the strong force which holds the nucleus into a spherical or nearly spherical shape



➤ deform it into a **two-lobed** ("peanut") shape



➤ the lobes separate from each other, pushed by their mutual positive charge to a **critical distance**, beyond which the short range strong force can no longer hold them together



➤ the process of their separation proceeds by the energy of the (longer range) **electromagnetic repulsion** between the fragments. The result is **two fission fragments** moving away from each other (+ a few neutrons)

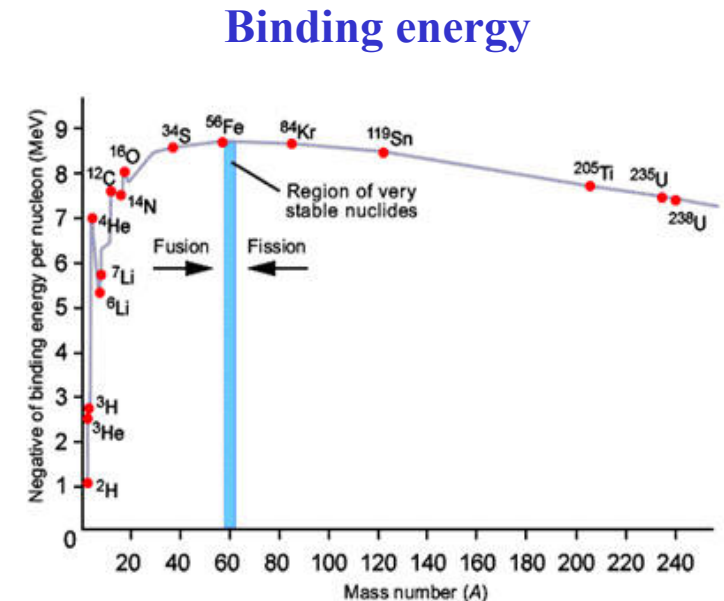


Fission energy

❑ Fission of heavy elements is an **exothermic reaction** which can **release a large amount of energy** (~1 MeV per nucleon) both as electromagnetic radiation and as kinetic energy of the fragments (heating the bulk material where fission takes place).

❑ In order that fission produces energy, the **total binding energy** of the resulting elements must be larger than that of the starting element.

❑ Fission is a form of **nuclear transmutation** because the resulting fragments are not the same elements as the original one.



❖ Typical fission events **release** about two hundred million eV (200 MeV) **of energy** for each fission event, e.g. for ^{235}U : **~235 MeV**

❖ By contrast, most **chemical oxidation reactions** (such as burning coal) release at most **a few eV per event**

➔ So **nuclear fuel contains at least ten million times more usable energy per unit mass than chemical fuel**

E.g.: **1 gramm of ^{235}U is equivalent to 1 tonn of coal** (\Rightarrow 3.5 tonn CO_2)!



Fission energy

Fission energy is the **release energy** from the fission of the nucleus of mass $M(A, Z)$ to fragments with masses $M_1(A_1, Z_1)$ and $M_2(A_2, Z_2)$:

$$Q_f = M(A, Z)c^2 - [M_1(A_1, Z_1)c^2 + M_2(A_2, Z_2)c^2] = \\ = W_1(A_1, Z_1) + W_2(A_2, Z_2) - W(A, Z),$$

where $W(A, Z)$ is the **total binding energy** (binding energy per nucleon: $\epsilon = W(A, Z)/A$)

The binding energy – from the liquid drop model - **Weizsäcker formula**: $W = E_B$

$$E_B = \underbrace{a_V \cdot A}_{\text{Volum term}} - \underbrace{a_S \cdot A^{\frac{2}{3}}}_{\text{Surface term}} - \underbrace{a_C \cdot \frac{Z^2}{A^{\frac{1}{3}}}}_{\text{Coulomb term}} - \underbrace{a_{Sym} \cdot \frac{(N - Z)^2}{A}}_{\text{Assymetry term}} - \underbrace{\frac{\delta}{A^{1/2}}}_{\text{Pairing term}}$$

Empirical parameters:

$$a_V \approx 16 \text{ MeV}$$

$$a_S \approx 20 \text{ MeV}$$

$$a_C \approx 0,75 \text{ MeV}$$

$$a_{Sym} \approx 21 \text{ MeV}$$

$$\delta = \begin{cases} -11.2 \text{ MeV}/c^2 & \text{for even } Z \text{ and } N \text{ (even-even nuclei)} \\ 0 \text{ MeV}/c^2 & \text{for odd } A \text{ (odd-even nuclei)} \\ +11.2 \text{ MeV}/c^2 & \text{for odd } Z \text{ and } N \text{ (odd-odd nuclei).} \end{cases}$$



Symmetric and asymmetric fission

1) **Symmetric fission** to equal fragments with masses $M_1(A_1, Z_1) = M_2(A_2, Z_2) = M(A/2, Z/2)$:

$$Q_f = 2W(A/2, Z/2) - W(A, Z) \approx [E_s(A, Z) + E_c(A, Z)] - 2[E_s(A/2, Z/2) + E_c(A/2, Z/2)]$$

Fission is **energetically favourable** if $Q_f > 0 \rightarrow$

E_s - surface energy

E_c - Coulomb energy

fission parameter

$$\frac{Z^2}{A} \geq 17$$

for nuclei with $A > 90$

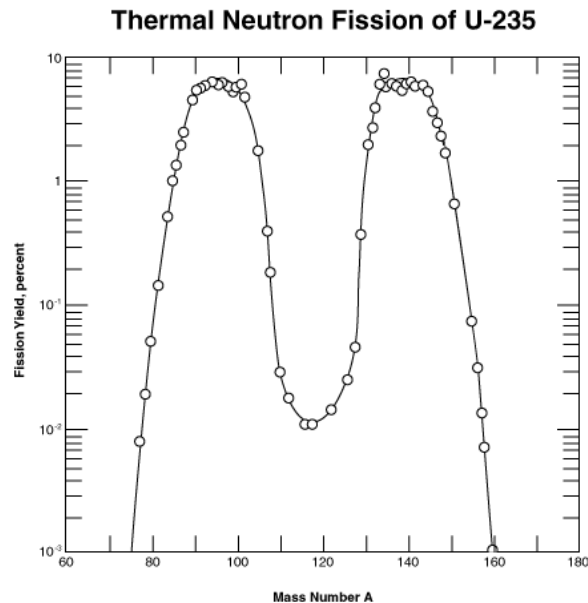
2) **Asymmetric fission** to fragments with nonequal masses $M_1(A_1, Z_1)$, $M_2(A_2, Z_2)$,

it produces the fission products at

$$A_{\text{light}} = 95 \pm 15 \text{ and } A_{\text{heavy}} = 135 \pm 15.$$

The reason:

to form closed shells for the fission products!



$$\frac{A_{\text{light}}}{A_{\text{heavy}}} \approx \frac{Z_{\text{light}}}{Z_{\text{heavy}}} \approx \frac{2}{3}$$





Potential energy of fission

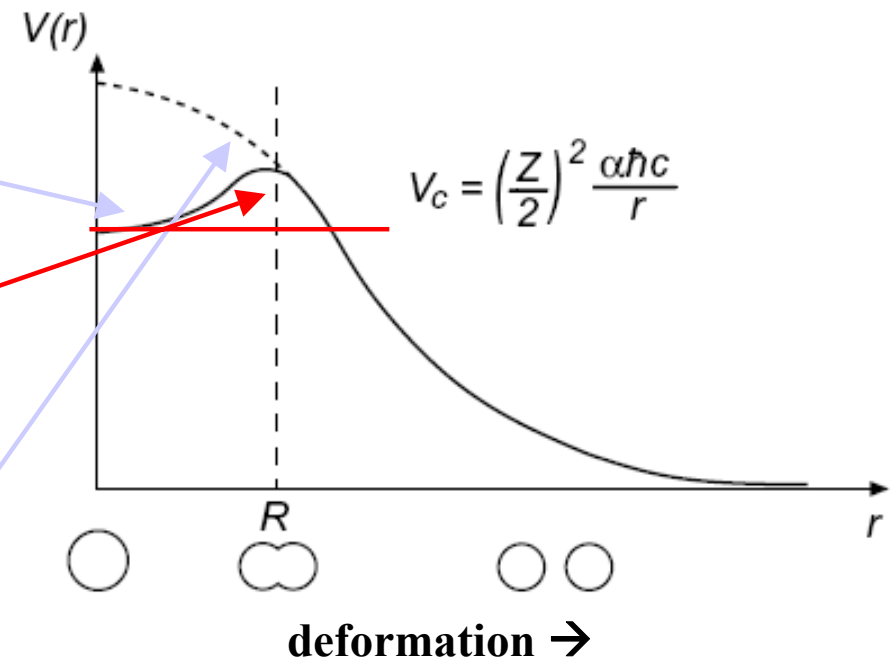
Let's find the **charge number Z** above which **nuclei become fission unstable**, i.e., the point from which the mutual **Coulombic repulsion** of the protons **outweighs** the **attractive** nature of the **nuclear force**.

An estimate can be obtained by considering the **surface and the Coulomb energy** during the **fission deformation**. As the nucleus is deformed the surface energy increases, while the Coulomb energy decreases. If the deformation leads to an **energetically more favourable configuration**, the nucleus is unstable.

Potential energy during different stages of a fission reaction:

A nucleus with charge Z decays spontaneously into two daughter nuclei. The solid line corresponds to the shape of the potential in the parent nucleus.

The **height of the barrier for fission** determines the probability of spontaneous fission. The fission barrier disappears for nuclei with $Z^2/A > 48$ and the shape of the potential then corresponds to the dashed line.





Fission barrier

Quantitatively, this can be calculated as follows: keeping the volume of the nucleus constant, we deform its **spherical shape** into an **ellipsoid** with axes $a = R(1 + \varepsilon)$ and $b = R(1 - \varepsilon/2)$



ε - deformation

$$V = 4\pi R^3/3 = 4\pi ab^2/3$$

The **surface energy** then has the form:

$$E_s = a_s A^{2/3} \left(1 + \frac{2}{5} \varepsilon^2 + \dots \right)$$

while the **Coulomb energy** is given by:

$$E_c = a_c Z^2 A^{-1/3} \left(1 - \frac{1}{5} \varepsilon^2 + \dots \right)$$

Hence a deformation ε changes the total energy by:

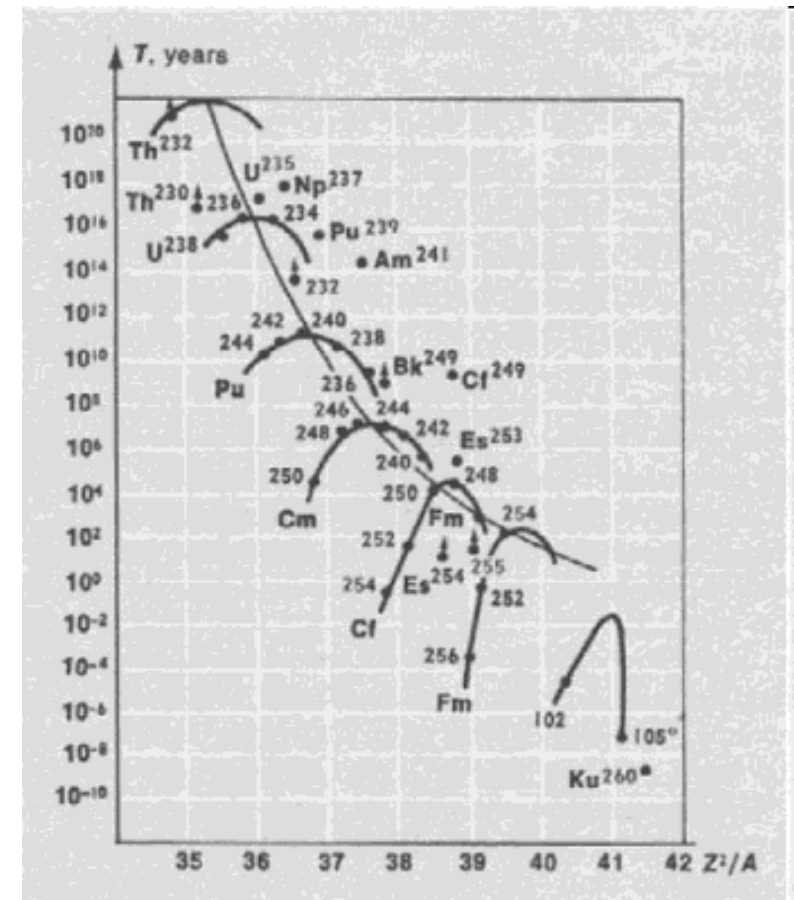
$$\Delta E = \frac{\varepsilon^2}{5} \left(2a_s A^{2/3} - a_c Z^2 A^{-1/3} \right)$$

□ If ΔE is negative, a deformation is energetically favoured.

□ The **fission barrier disappears for:**

$$\frac{Z^2}{A} \geq \frac{2a_s}{a_c} \approx 48$$

This is the case for nuclei with $Z > 114$ and $A > 270$





Fission barrier

Fission energy Q_F

Fission parameter Z^2/A

Fission barrier U :

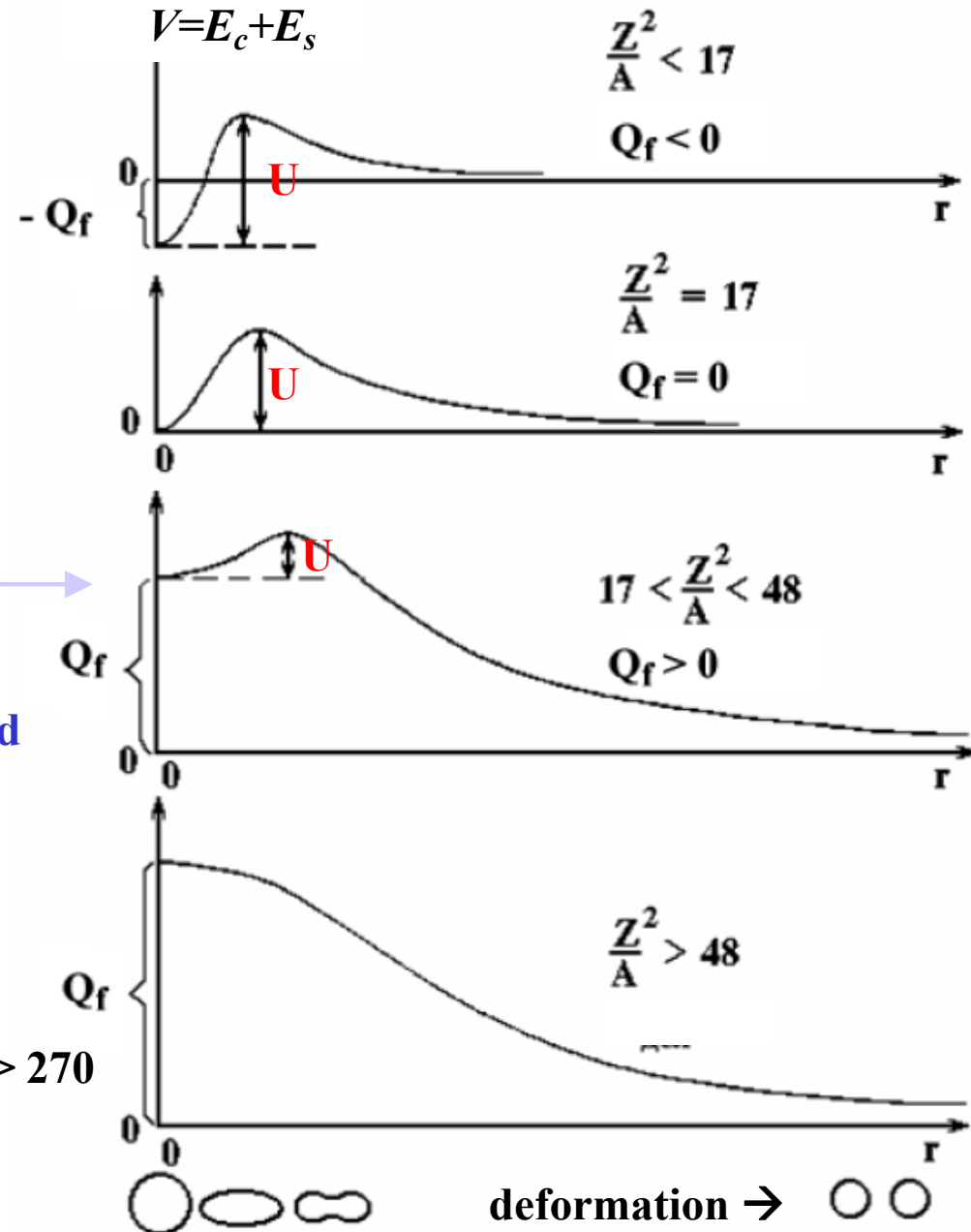
$$U(r) = V|_{\max} - V|_{r=0}$$

e.g. ^{235}U →

$Q_f > 0$:

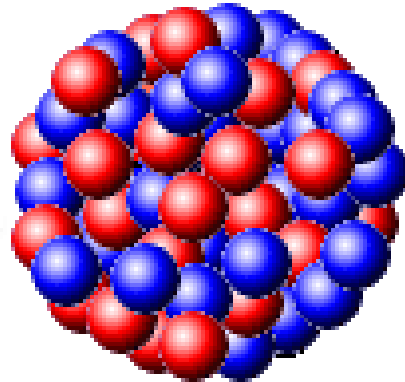
Fission is energetically favoured

nuclei with $Z > 114$ and $A > 270$



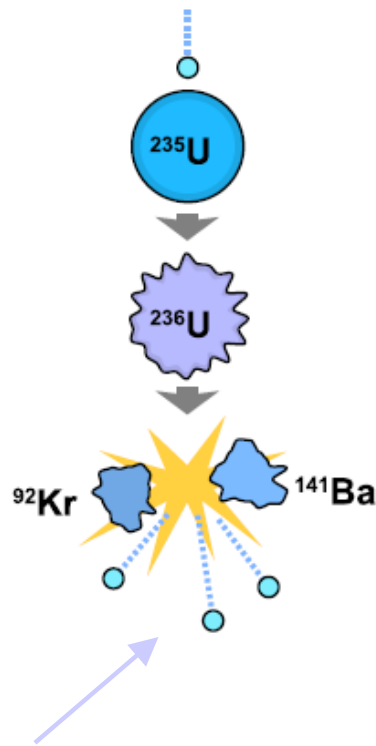


Nuclear fission

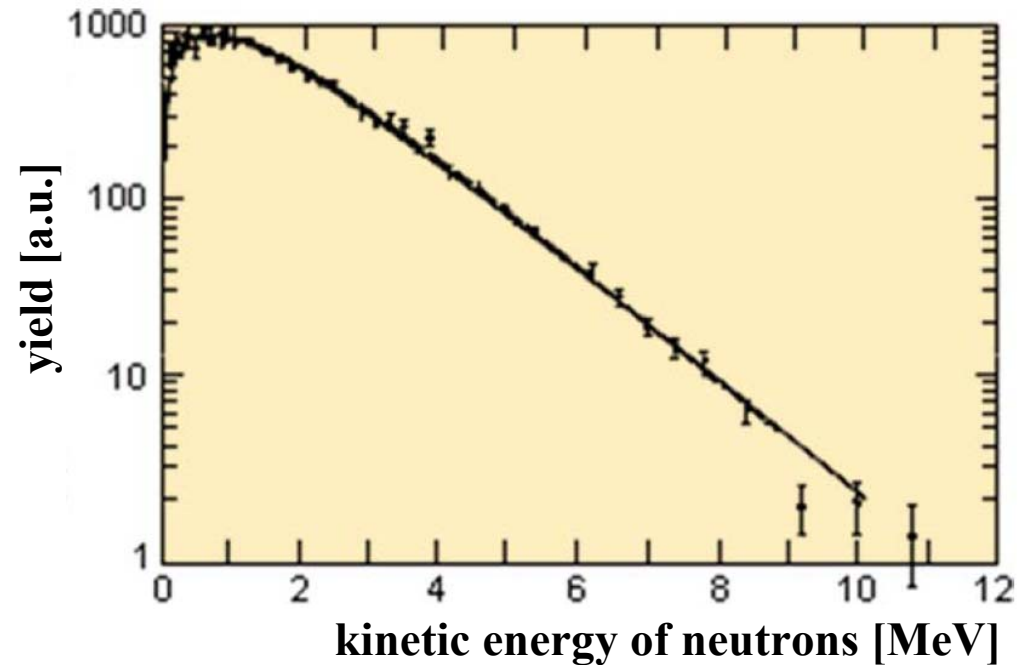




Neutrons from nuclear fission



Spectrum of neutrons from nuclear fission:



2-3 neutrons are produced in each fission event →

- continuum energy spectrum of produced neutrons with the **maximum at 1 MeV**
- **a prompt neutron** is a neutron immediately emitted by a nuclear fission event
- about 1% of neutrons – so-called **delayed neutrons** – are emitted as radioactive decay products from fission-daughters from a few milliseconds to a few minutes later

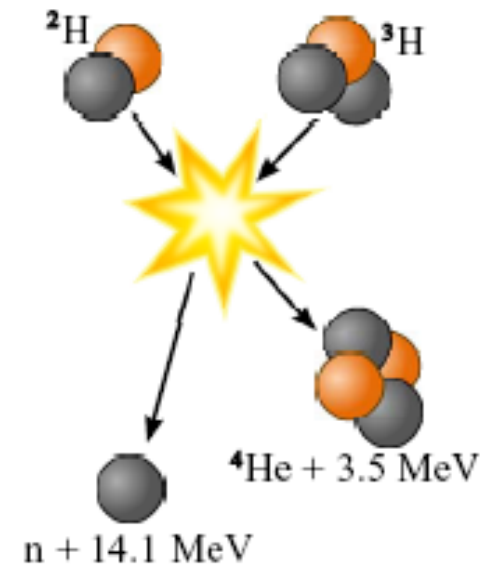
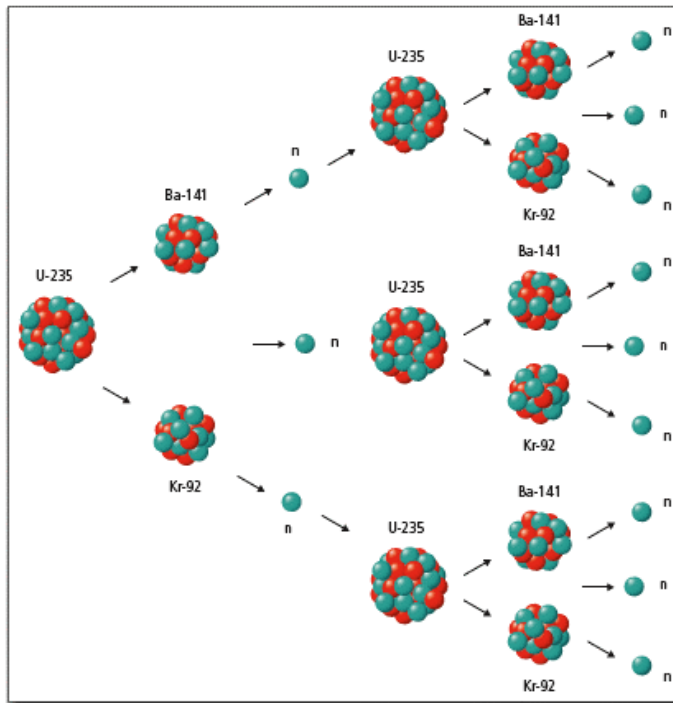


Nuclear chain reactions

A **nuclear chain reaction** occurs when one nuclear reaction causes on the average one or more nuclear reactions, thus leading to a self-propagating number of these reactions.

The specific nuclear reaction may be:

the **fission of heavy isotopes** (e.g. ^{235}U) or the **fusion of light isotopes** (e.g. ^2H and ^3H)

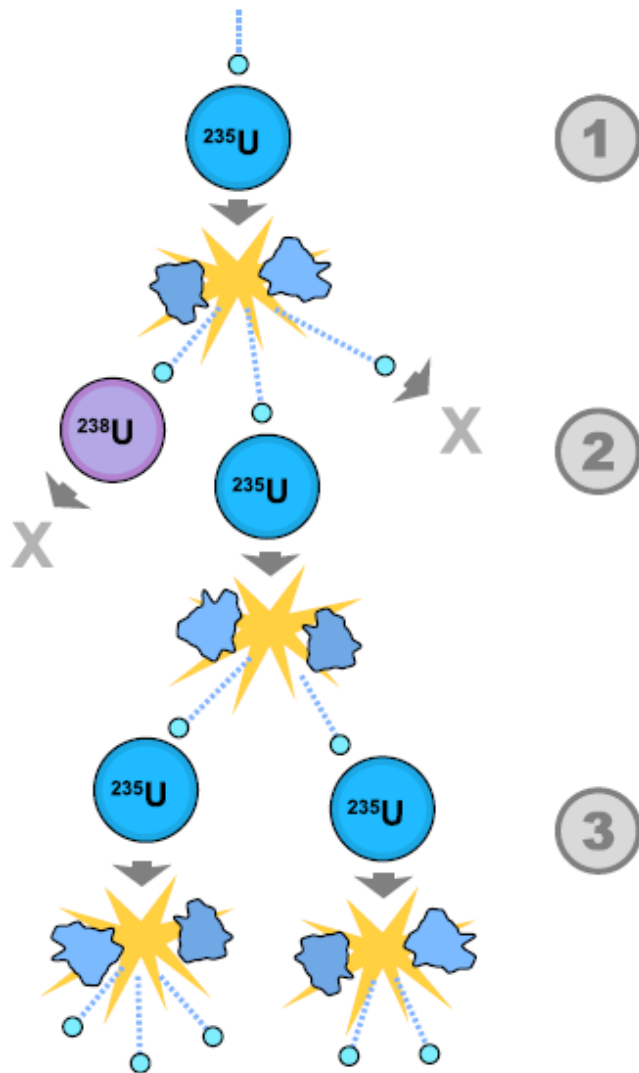


The nuclear chain reaction releases several million times more energy per reaction than any chemical reaction!



Fission chain reactions

- The production of 2-3 neutrons in each fission event makes it possible to use fission chain reactions for **the production of energy!**



A schematic nuclear fission chain reaction:

1. A **uranium-235** atom absorbs a neutron and fissions into two new atoms (fission fragments), releasing **three new neutrons** and some binding energy.
2. One of these **neutrons is absorbed** by an atom of **uranium-238** and does not continue the reaction. Another neutron is simply **lost** and does not collide with anything, also not continuing the reaction. However **one neutron** does collide with an atom of **uranium-235**, which then fissions and releases two neutrons and some binding energy.
3. **Both of these neutrons** collide with **uranium-235** atoms, each of which fissions and releases between one and three neutrons, which can then **continue the reaction**.



Fission chain reactions

1st Generation: on average **2 neutrons**

....

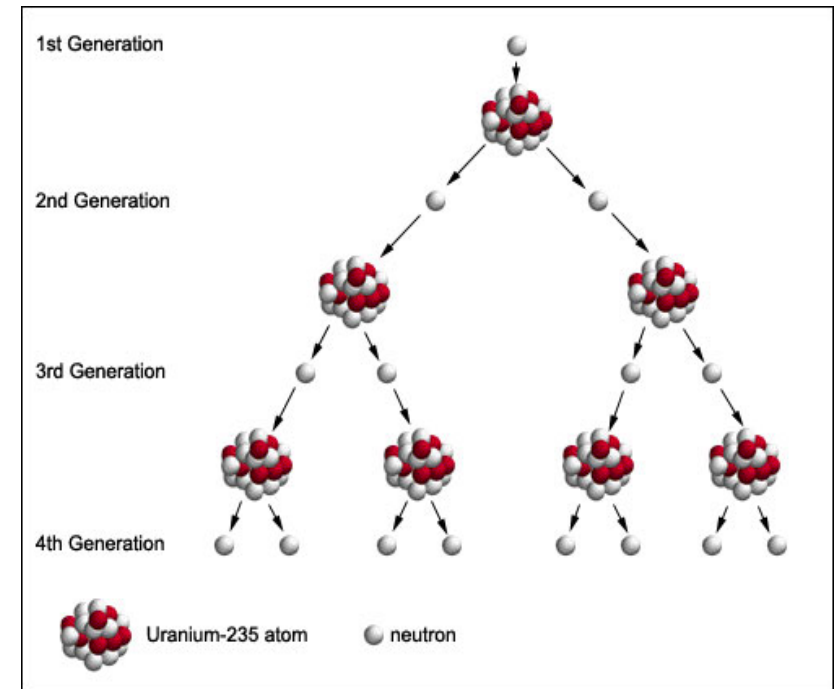
k^{th} Generation: **2^k neutrons**

Mean generation time Λ is the average time from a neutron emission to a capture that results in a fission $\Lambda = 10^{-7} - 10^{-8}$ c

\Rightarrow e.g. 80th generation in $10^{-5} - 10^{-6}$ c :

during this time $2^{80} = 10^{24}$ neutrons are produced which lead to

- the fission of 10^{24} nuclei (140 g) of ^{235}U
- = release of **$3 \cdot 10^{13}$ Watt of energy**
(1W=1J/c, 1 eV = $1.602 \cdot 10^{-19}$ J)
- which **is equivalent to 1000 tonns of oil!**



❑ **Controlled chain reactions** are possible with the isotops ^{235}U , ^{233}U and ^{239}Pu

❑ The chemical element isotopes that can sustain a fission chain reaction are called **nuclear fuels**, and are said to be **fissile**.

❑ The **most common nuclear fuels** are ^{235}U (the isotope of uranium with an atomic mass of 235 and of use in nuclear reactors) and ^{239}Pu (the isotope of plutonium with an atomic mass of 239).



Fission chain reactions

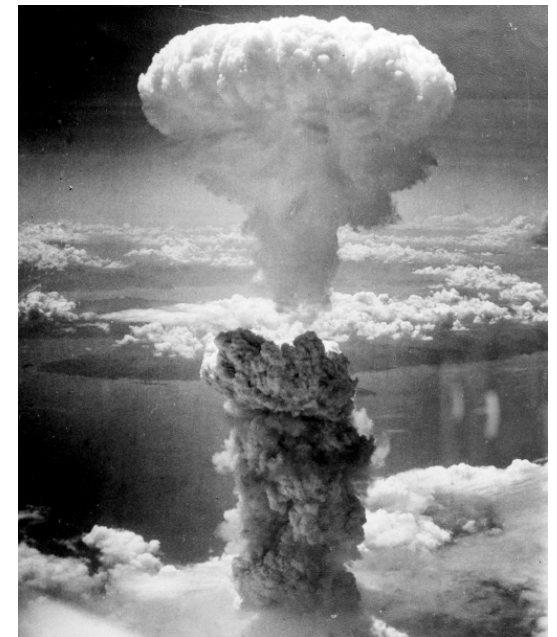
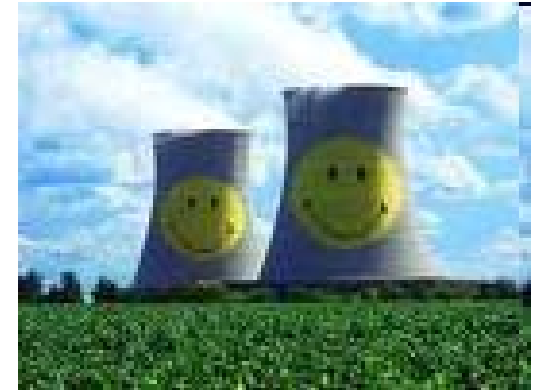
Fission chain reactions are used:

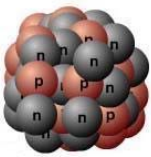
Nuclear power plants operate by **precisely controlling the rate** at which nuclear reactions occur, and that control is maintained through the use of several redundant layers of safety measures.

Moreover, the materials in a nuclear reactor core and the uranium enrichment level make a nuclear explosion impossible, even if all safety measures failed.

Nuclear weapons are specifically engineered to produce a reaction that is so **fast and intense** that it cannot be controlled after it has started.

When properly designed, this **uncontrolled reaction can lead to an explosive energy release.**





Nuclear chain reactions

The **effective neutron multiplication factor, k** , is the average number of neutrons from one fission that causes another fission:

$$k = \frac{\text{number of neutrons in one generation}}{\text{number of neutrons in preceding generation}}$$

The remaining neutrons either are absorbed in non-fission reactions or leave the system without being absorbed.

The value of k determines how a nuclear chain reaction proceeds:

- ❑ **$k < 1$ (subcriticality):** The system cannot sustain a chain reaction, and any beginning of a chain reaction dies out in time. For every fission that is induced in the system, an average total of $1/(1 - k)$ fissions occur.
- ❑ **$k = 1$ (criticality):** Every fission causes an average of one more fission, leading to a **fission (and power) level that is constant**. **Nuclear power plants** operate with $k = 1$ unless the power level is being increased or decreased.
- ❑ **$k > 1$ (supercriticality):** For every fission in the material, it is likely that there will be k fissions after the next mean generation time. The result is that the number of fission reactions increases exponentially, according to the equation $e^{(k-1)t/\Lambda}$, where t is the elapsed time. **Nuclear weapons** are designed to operate in this state.



Nuclear chain reactions

1) Consider an **idealized case** – an **infinite nuclear medium**:

$k \Rightarrow$ **neutron multiplication factor in an infinite medium** k_{∞}

$$k_{\infty} = \eta f p \varepsilon$$

η - **reproduction factor** - the number of fission neutrons produced per absorption in the fuel

f - **the thermal utilization factor** - probability that a neutron that gets absorbed does so in the fuel material

p - **the resonance escape probability** - fraction of fission neutrons that manage to slow down from fission to thermal energies without being absorbed

ε - **the fast fission factor** = $\frac{\text{total number of fission neutrons}}{\text{number of fission neutrons from just thermal fissions}}$

2) For the **final size medium (as a reactor zone)** the neutron will escape from the reaction zone \Rightarrow

$$k = k_{\infty} P$$

P is a **probability for neutrons to stay in the reaction zone** – depends on the interia of the reaction zone, geometrical form of reaction zone and surrounding material



Nuclear chain reactions

		$^{234}_{92}\text{U}$	$^{236}_{92}\text{U}$	$^{240}_{94}\text{Pu}$
Thermal neutrons E=0.025 eV	ν	2.52	2.47	2.91
	η	2.28	2.07	2.09
Fast neutrons E=1 MeV	ν	2.7	2.65	3.0
	η	2.45	2.3	2.7

ν – average number of neutrons per one fission event

η – **reproduction factor** – the number of fission neutrons produced per absorption in the fuel

Possible reactions with neutrons:

- fission reactions (n,f) – cross section σ_{nf}
- radioactive capture (n, γ) – cross section $\sigma_{n\gamma}$

$$\eta = \nu \frac{\sigma_{nf}}{\sigma_{nf} + \sigma_{n\gamma}}$$

→ The chain reactions are possible only if $\eta > 1$

η depends on the quality of the fuel: the larger η the better is the fuel



Reactions with neutrons

Produced neutrons:

- thermal $E=0.02-0.5$ eV
- resonant $E=0.5\text{eV}-1.0$ keV
- fast $E=100$ keV – 14 MeV

Possible reactions with neutrons:

- fission reactions (n,f)
- radioactive capture (n, γ)
- (n,n), (n,n')

Radioactive capture vs. fission reactions:



radioactive capture energy $E_{\text{cap}}({}^{235}\text{U})=6.5$ MeV

energy of the fission barrier for ${}^{236}\text{U}$ is

$E_{\text{fb}}({}^{236}\text{U})=6.0$ MeV $\rightarrow E_{\text{fb}} < E_{\text{cap}}$

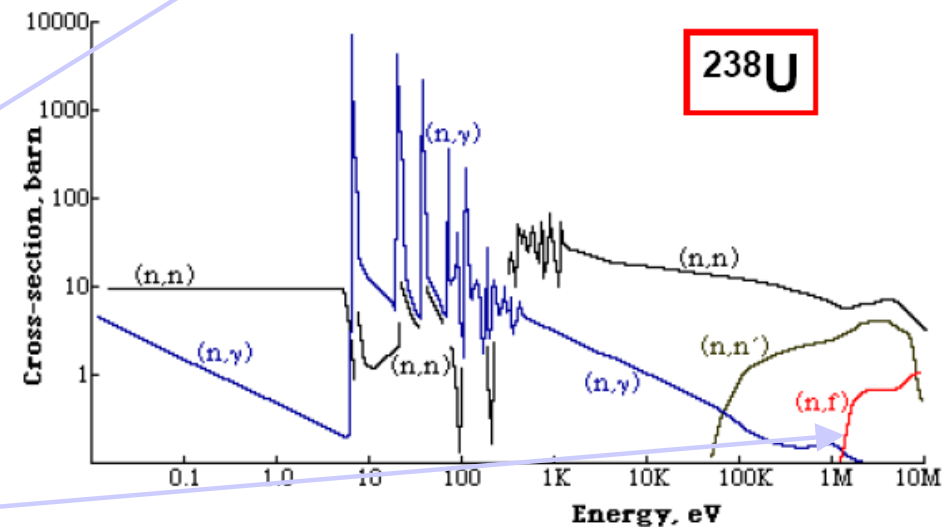
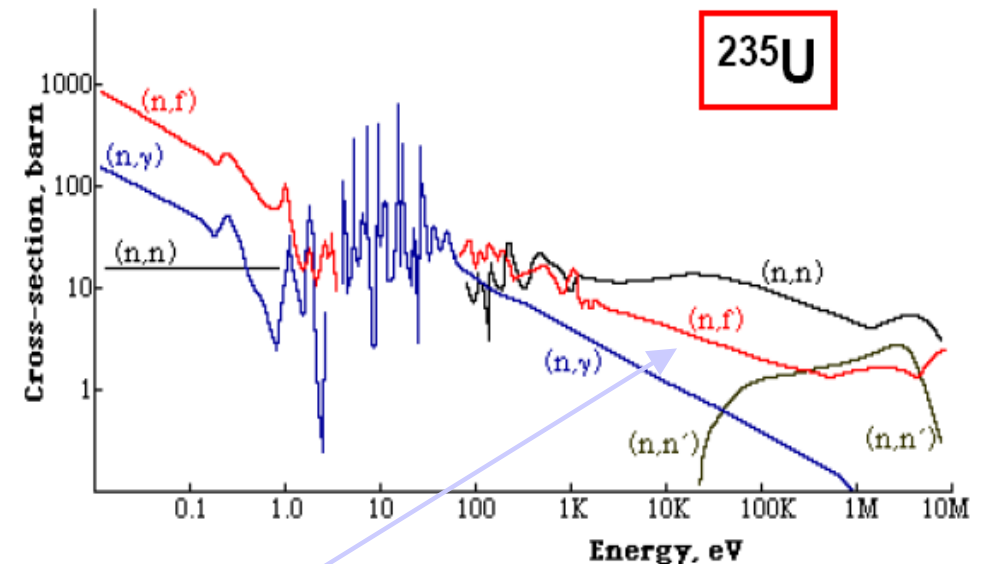
\rightarrow the fission of ${}^{236}\text{U}$ is possible for all energies of incoming neutrons (**thermal and fast**)



$E_{\text{cap}}({}^{238}\text{U})=6.0$ MeV; $E_{\text{fb}}({}^{239}\text{U})=7.0$ MeV

$\rightarrow E_{\text{fb}} > E_{\text{cap}}$

\rightarrow the fission of ${}^{239}\text{U}$ is possible for **fast** neutrons with kinetic energy >1 MeV



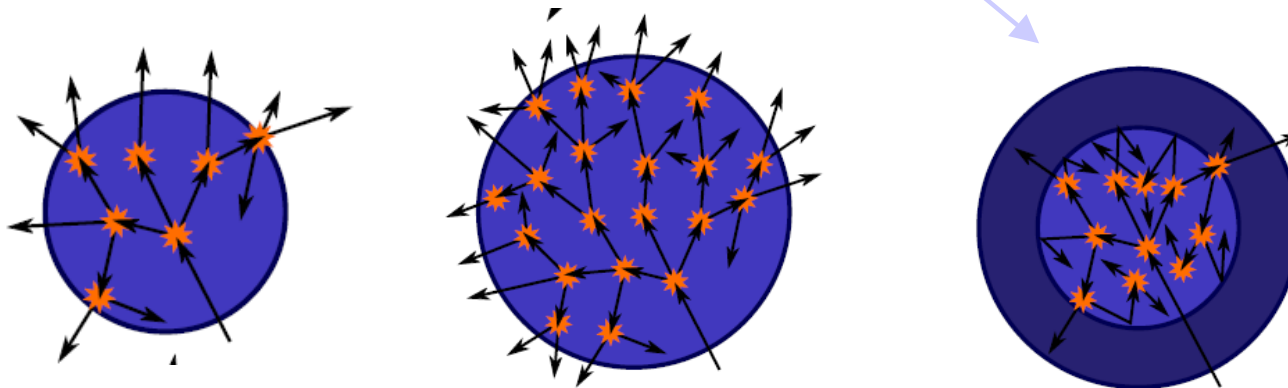


Critical mass, size

Since the neutrons can escape from the reaction zone =>

- **critical size** - the size of the reaction zone such that $k=1$
- **critical mass** - the mass in the reaction zone such that $P_{crit} = 1 / k_{\infty}$
- if $M < M_{crit}$ the chain reactions are impossible
- if $M > M_{crit}$ ➔ uncontrolled reaction => explosion

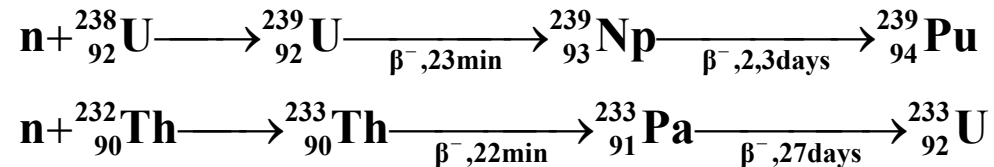
E.g.: the critical mass for the pure ^{235}U isotope is 47 kg,
however, for ^{235}U surrounded by a reflecting material it is only 242g





Nuclear fuel

Radioactive capture of neutrons by ^{238}U or ^{232}Th decreases the efficiency of the chain reactions, however, leads to the **manufacturing of fuel**, i.e. the **production** of ^{233}U and ^{239}Pu :



In nature there are only 3 isotops - ^{235}U , ^{238}U and ^{232}Th – which can be used as **nuclear fuel** (^{235}U) or **reproduction of fuel** (as $^{238}\text{U} \rightarrow ^{239}\text{Pu}$; $^{232}\text{Th} \rightarrow ^{233}\text{U}$)

❖ **Naturally occuring uranium** consists 99.3% of ^{238}U and only **0.7%** of ^{235}U , i.e. for 1 nucleus of ^{235}U there are 140 nuclei of ^{238}U

➤ Consider fission of **naturally occuring uranium**:

1) **by fast neutrons**

If the energy of a neutron is larger than 1.4 MeV, the fission of ^{238}U becomes possible ➔

η reproduction factor: $\eta_{\text{fast}}(^{238}\text{U}) = \frac{140 \cdot \nu \sigma_{nf}^{238}}{\sigma_{nf}^{235} + \sigma_{n\gamma}^{235} + 140(\sigma_{nf}^{238} + \sigma_{n\gamma}^{238})} \cdot 0,6 \cdot \frac{1}{5} \approx 0,27$

$\nu = 2.65$, $\sigma_{nf}^{235} = 1.2 - 1.3 \text{ barn}$

$\sigma_{nf}^{238} = 0.6 \text{ barn}$, $\sigma_{n\gamma}^{235} \approx \sigma_{n\gamma}^{238} \approx 0.6 \text{ barn}$

$\eta_{\text{fast}}(\text{natur}) = \eta_{\text{fast}}(^{238}\text{U}) + \eta_{\text{fast}}(^{235}\text{U}) = 0.27 + 0.03 = 0.3 < 1$

➔ **Chain reactions by fast neutrons on naturally occuring uranium are impossible!**



Nuclear fuel

2) fission by **thermal neutrons** on **naturally occurring uranium**:

$$\eta_{\text{thermal (nature)}} = \frac{v\sigma_{nf}^{235}}{\sigma_{nf}^{235} + \sigma_{n\gamma}^{235} + 140(\sigma_{n\gamma}^{238} + \sigma_{nf}^{238})}$$

$$v=2.47, \sigma_{nf}^{235}=580 \text{ barn}$$

$$\sigma_{n\gamma}^{235}=112 \text{ barn}, \sigma_{n\gamma}^{238}=2.8 \text{ barn}, \sigma_{nf}^{238}=0$$

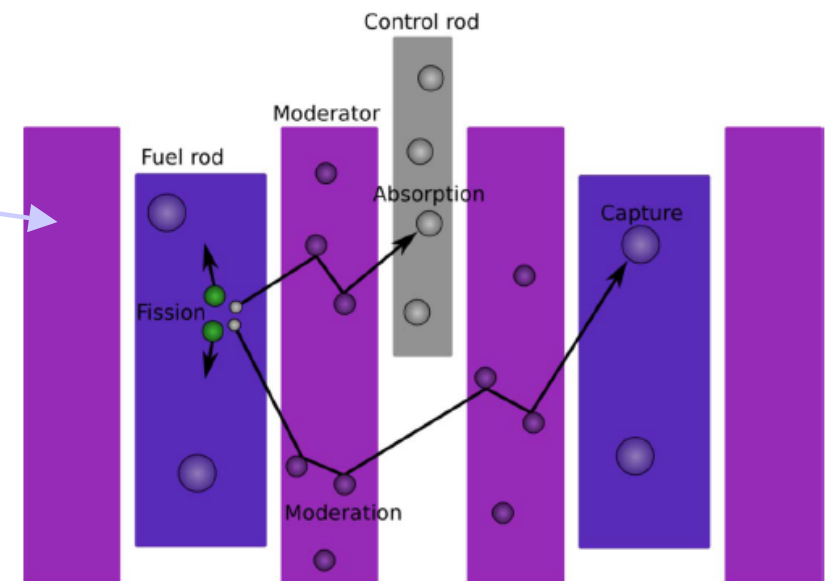
$$\eta_{\text{thermal (nature)}}=1.32 > 1$$

➔ Chain reactions by **thermal** neutrons on **naturally occurring uranium** are **possible** !

In order to use the **naturally occurring uranium** as a nuclear **fuel**, one needs to **slow down** the fast neutrons to thermal energies

❑ In nuclear reactors there are **neutron moderators**, which reduce the velocity of fast neutrons, thereby turning them into thermal neutrons

Moderator materials: graphite, water





Nuclear reactor

uranium mass 2

uranium mass 1

uranium-235

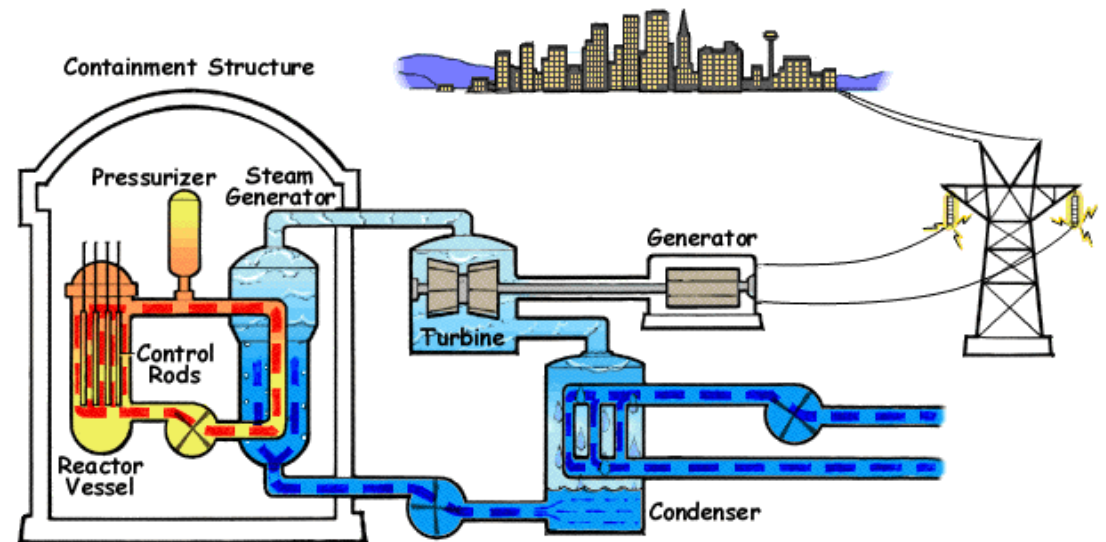
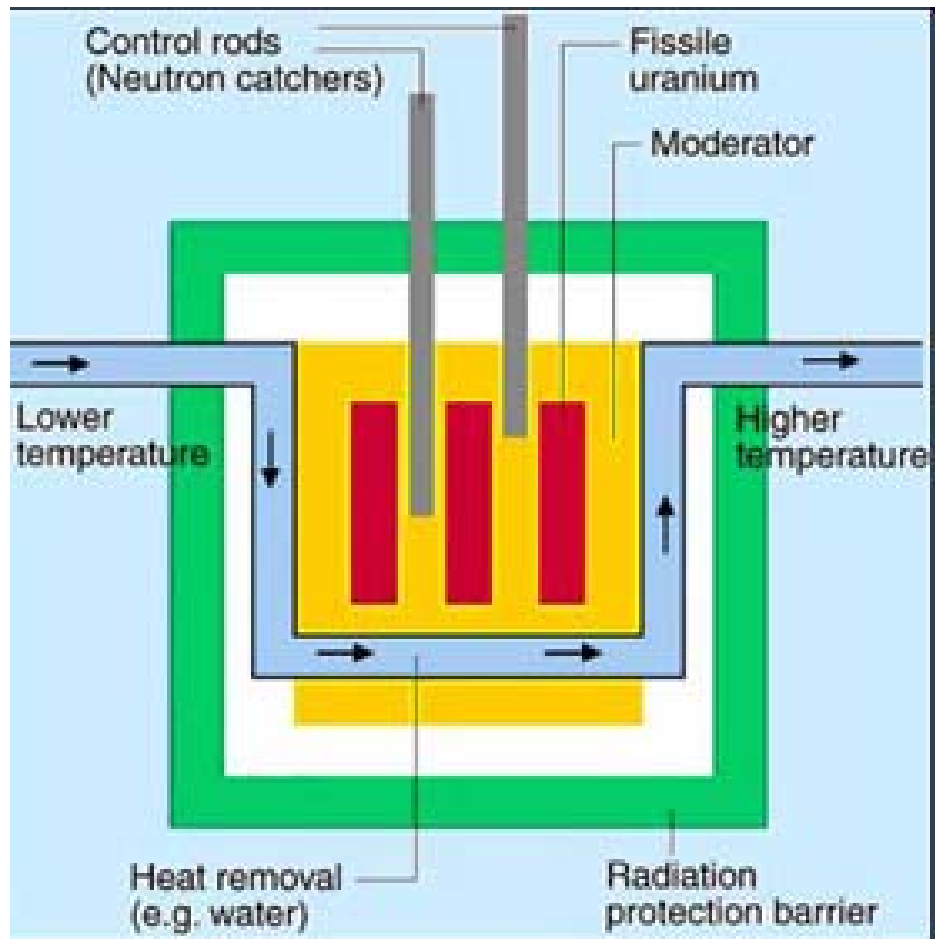
uranium-238





Nuclear reactor

A **nuclear reactor** is a device to initiate and control a sustained nuclear chain reaction for the generation of **electric energy**. Heat from nuclear fission is used to producecreate electricity, which runs through turbines and create electricity.

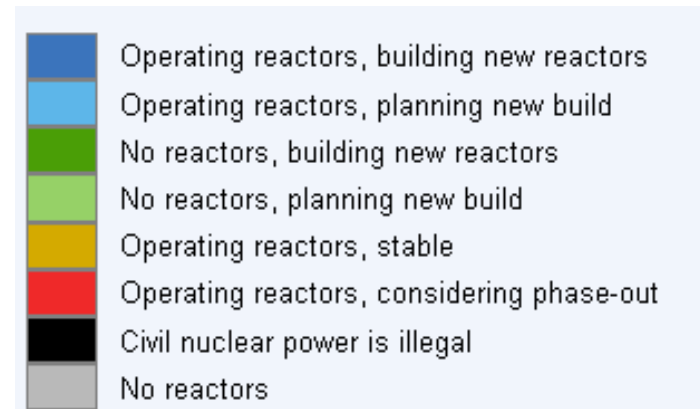
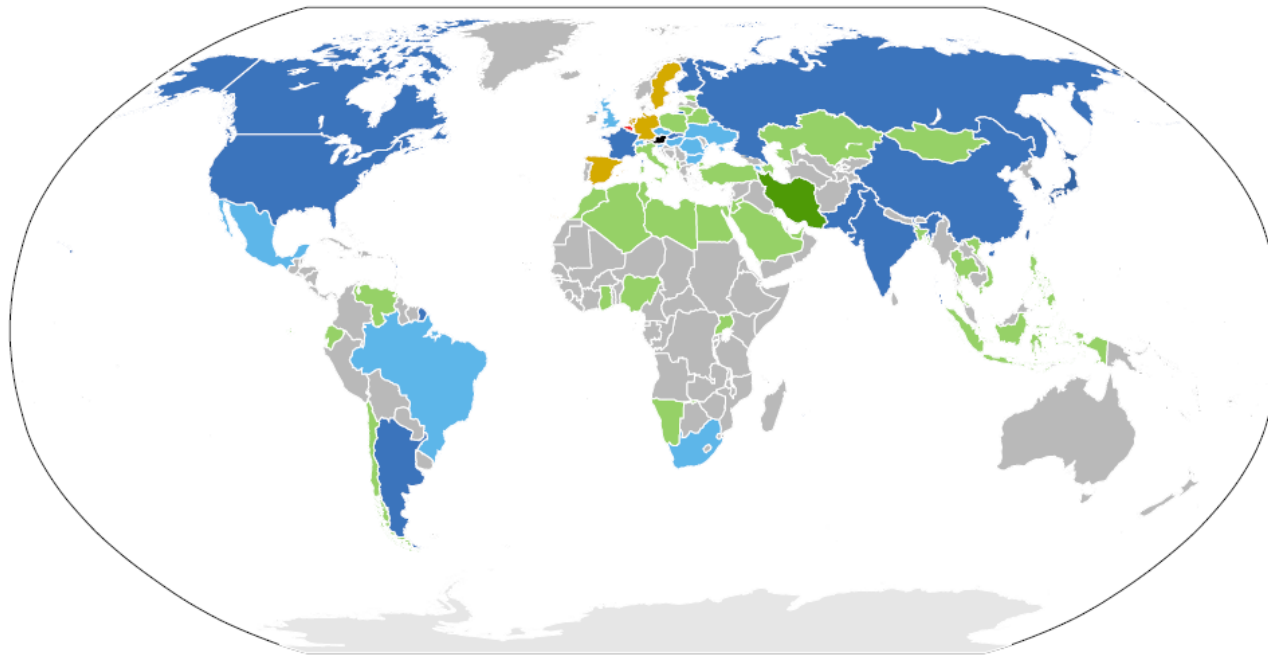




Nuclear power plants

Nuclear power plants currently use **nuclear fission reactions** to heat water to produce steam, which is then used to generate electricity

Nuclear power provides about 6% of the world's energy and 13–14% of the world's electricity.





Nuclear and radiation accidents

Nuclear power plant accidents include :

- three Mile Island accident, US (1979)
- the Chernobyl disaster, Ukrain (1986),
- Fukushima I nuclear accidents, Japan (2011)

